SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287						
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continue. See	
	n 4 or Form 5 continue. <i>See</i>

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* WALLER GREGORY D						2. Issuer Name and Ticker or Trading Symbol <u>CHF Solutions, Inc.</u> [CHFS]									ationship of Reporting k all applicable) Director		on(s) to Issi 10% Ov	
(Last) 12988 VA	(Fi	,	Middle)			3. Date of Earliest Transaction (Month/Day/Year) 05/25/2018								Officer below)	Officer (give title below)		Other (spec below)	
(Street) EDEN PR (City)	AIRIE M		5344 Zip)		4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)							Line	e) <mark>X</mark> Form fi Form fi	ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person			
		Tab	le I - Nor	ו-Deri	/ative	e Sec	curities	Acc	quired,	Dis	posed of	f, or Bei	neficiall	y Owned				
Date						action 2A. Deel Execution Day/Year) (Month/I			3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			ed (A) or tr. 3, 4 and	5. Amour Securitie Beneficia Owned F Reported	es For ally (D) Following (I) (I		: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) or (D)) or Transaction(s)					(1130.4)
		Т									osed of, convertib			Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date, Transa Code			of Derivat Securit Acquire (A) or Dispose of (D) (I	of Derivative Securities Acquired		6. Date Exercisable and Expiration Date (Month/Day/Year)			nd Amount ties ng e Security ind 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa	ıble	Expiration Date	Title	Amount or Number of Shares					
Nonstatutory																		

Explanation of Responses:

\$<mark>3.01</mark>

Stock

Option (right to buy)

1. Vests in 12 approximately equal consecutive monthly increments, commencing on the one-month anniversary of the date of grant, so that all of the options will be vested on the one-year anniversary of the date of grant.

(1)

/s/ Gayle Aiken, by Power of Attorney

10,000

\$<mark>0</mark>

Common

Stock

05/24/2028

05/29/2018

Date

10,000

D

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/25/2018

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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