## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Peters William S</u>							2. Issuer Name and Ticker or Trading Symbol Sunshine Heart, Inc. [ SSH ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner			
(Last) 12988 VA	(Last) (First) (Middle) 12988 VALLEY VIEW ROAD						3. Date of Earliest Transaction (Month/Day/Year) 02/08/2014									X Officer (give title below) Other (specify below)  CTO & Medical Director			)``
(Street) EDEN PRAIRIE MN 55344  (City) (State) (Zip)					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									ne) X Fo	ividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Trans Date (Month/I					saction /Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)					(A) or 3, 4 a	nd Secu Bene Own	nount of rities ficially ed Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
										Code	v	Amount		(A) or (D)	Price		saction(s) r. 3 and 4)		(Instr. 4)
Common Stock				02/08/2014		4			F		329(1	.)	D	\$	)	22,247	D		
Common Stock																7,250	I	By Peters JAM Trust	
Common Stock																33,433	I	By Peters Apollo Trust	
Common Stock																35	I	By Daughter	
Common Stock															53		I	By Son - I	
Common Stock																35	I	By Son - II	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercipation Price of Derivative Security	se (Month	saction /Day/Year)	3A. Deeme Execution if any (Month/Da	ed Date,	4. Transa	1. Fransaction Code (Instr.		5. Number 6		_	able and	7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)		_			Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
						Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amo or Num of Sha	ber				

## **Explanation of Responses:**

1. 329 shares were withheld to satisfy the insider's tax withholding obligation related to the vesting of 996 RSUs.

Gayle C. Aiken, by Power of <u>Attorney</u>

02/10/2014

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.