FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPRO              | VAL       |
|---|------------------------|-----------|
|   | OMB Number:            | 3235-0287 |
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|   | Check this box if no longer subject to |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|--|
| ٦ | Section 16. Form 4 or Form 5           |  |  |  |  |  |  |  |  |  |
| ) | obligations may continue. See          |  |  |  |  |  |  |  |  |  |
|   | Instruction 1(b).                      |  |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Rosa David A     |  |  |  |   |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Sunshine Heart, Inc. [SSH]              |  |  |   |   |                     |   |   |   | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |   |   |               |  |
|--|--|--|--|---|--|--|--|--|---|---|---------------------|---|---|---|---|---|---|---------------|--|
| (Last) (First) (Middle) 12988 VALLEY VIEW ROAD             |  |  |  |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2015                                |  |  |   |   |                     |   |   |   | ^ belo  | ,   | Other<br>below<br>outive Officer                    | (specify<br>) |  |
| (Street) EDEN PI   | PRAIRIE MN 55344  (State) (Zip)  |  |  |   |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                   |  |  |   |   |                     |   |   | 6.<br>Lir   | ne)<br>X Fori<br>Fori   | lividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |   |               |  |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |   |  |  |  |  |   |   |                     |   |   |   |   |   |   |               |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da |  |  |  |   |  | Execution Date,  |  |  | 3. Transaction Disposed Of (D) (Instr. 3, 4) 5)   |   |                     |   |   | d Secur<br>Benef  | ficially<br>d Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |               |  |
|  |  |  |  |   |  |  |  |  | Code  | v | Amount (A) (C)      |   | N) or<br>D)   | Price   | Trans   | action(s)<br>3 and 4)   |   | (Instr. 4)    |  |
| Common   | Stock  |  | 02/01/2015 F 5,226 <sup>(1)</sup> D \$4.98 150,943 D |   |  |  |  |  |   |   |                     |   |   |   |   |   |   |               |  |
|  |  |  | Fable II - I   |   |  |  |  |  |   |   | sed of,<br>onvertib |   |   |   | Owned   | I   |   |               |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)        | re Conversion or Exercise (Month/Day/Year) Execution Date, if any                |  |  | nsaction de (Instr.  5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |  |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4)  Amour<br>or<br>Numbe<br>of<br>Title Shares |   | ount                | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                            |   |   |               |  |

## Explanation of Responses:

 $1.\ 5,226\ shares\ were\ withheld\ to\ satisfy\ the\ insider's\ tax\ withholding\ obligation\ related\ to\ the\ vesting\ of\ 10,272\ RSUs.$ 

<u>Phillip D. Torrence, by Power of Attorney</u>

02/02/2015

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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